

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/757,700
Filing Date	January 11, 2001
First Named Inventor	Thomas N. Anderson
Examiner Name	Not Yet Assigned
Group Art Unit	2151
Attorney Docket No.	026592.109-US

TOTAL AMOUNT OF PAYMENT (\$) 0.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0740

Deposit Account Name Covington & Burling

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
96	-20 = 76	18	1,728.00
Independent Claims	10	-3 = 7	80 = 560.00
Multiple Dependent		270	270.00

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 3,398.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 130.00					

### TRANSMITTED BY

Name (print/type) Henry P. Ting

Registration No. (Attorney/Agent) 46,278

### Complete if applicable

Telephone 202.662.6000

Signature

Date April 30, 2001

Section #



Docket No.: 026592.109-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Thomas N. Anderson, et al.

Application No.: 09/757,700

Group Art Unit: 2151

Filed: January 11, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR  
DETERMINING AND IMPLEMENTING BEST  
PRACTICE IN A DISTRIBUTED  
WORKFORCE

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced  
Patent Application:

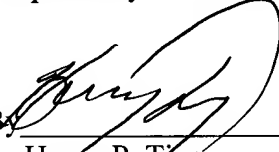
1. Fee Transmittal (1 page);
2. Response to the Notice to File Missing Parts of Application (in duplicate);
3. Copy of the Notice to File Missing Parts of Nonprovisional Application;
4. Original Declaration and Power of Attorney for Patent Application executed by  
each inventor (20 pages);
5. Fees totaling \$3,398.00 to cover:
  - \$710.00 basic filing fee;
  - \$2,558.00 additional claims fees;
  - \$130.00 late filing surcharge for the Declaration; and

## 6. Return receipt postcard.

The Commissioner is hereby authorized to charge the \$3,398.00 filing fee, any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026592.109-US. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740 referencing Docket No. 26592.109.US. A duplicate copy of this paper is enclosed.

Dated: April 30, 2001

Respectfully submitted,

By   
Henry P. Ting  
Registration No.: 46,278

COVINGTON & BURLING  
1201 Pennsylvania Avenue, NW  
Washington, D.C. 20004-2401  
202.662.6000  
Attorneys for Applicant

Enclosures

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/757,700	01/11/2001	Thomas Anderson	26592.109.US

CONFIRMATION NO. 7958

## FORMALITIES LETTER



\*OC000000005811806\*

GOVINGTON & BURLING  
ATTN: PATENT DOCKETING  
1201 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20004-2401

Date Mailed: 02/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2001 80100001 00000025 500740 09757700

FILED UNDER 37 CFR 1.53(b)

01 FC 101	710.00 CH
02 FC 103	1728.00 CH
03 FC 102	550.00 CH
04 FC 104	270.00 CH
05 FC 105	130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2558.
  - \$1728 for 96 total claims over 20.
  - \$560 for 7 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3398.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Thomas Anderson *et al.*

Art Unit: 2151

Appl. No. 09/757,700

Examiner: Unassigned

Filed: January 11, 2001

Attorney Docket: 26592.109.US

For: System and Method for Determining  
and Implementing Best Practice In A  
Distributed Workforce

**Response to Notice to File  
Missing Parts of Application**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN: Box Missing Parts**

Sir:

In response to the **Notice to File Missing Parts of Application--Filing Date Granted** dated February 28, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☐ Petition for Extension of Time under 37 C.F.R. § 1.136;
- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Declaration and Power of Attorney for Patent Application, executed by the inventors;
- ☒ Return postcard; and
- ☒ Payment of \$3,398.00 in total fees to cover:

\$710.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)

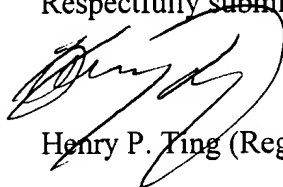
\$2,558.00 Fee for excess claims (37 C.F.R. § 1.16)

\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge the \$3,398.00 total filing fee, any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0740 referencing docket number 26592.109.US. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740 referencing docket number 26592.109.US. A duplicate copy of this Response is enclosed.

Respectfully submitted,



Henry P. Ting (Reg. No. 46,278)

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